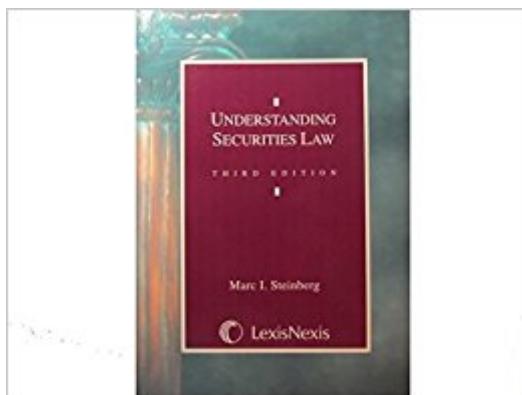


The book was found

# Understanding Securities Law (Understanding Series)



## **Synopsis**

This Understanding treatise follows a logical sequence of analysis of a securities issue. The author begins by defining a ""security"" and registration exemptions, and then continues through the process for non-exempt transactions. Understanding Securities Law clearly, thoroughly, and concisely addresses the subjects covered in basic Securities Regulation courses, including: The definition of securities exemptions from registration; the registration framework and process; Sarbanes-Oxley Act; SEC Securities Act Offering Rules; Resales and reorganizations; Due diligence; Liabilities and remedies; Affirmative disclosure duties; Insider trading; SEC enforcement; and Professional responsibility. The author also includes a glossary of key terms, statutes, rules, regulations and forms and schedules, and comparative charts synopsizing previously discussed materials. The text covers the regulation of public and privately held companies under the Securities Acts, SEC ""fraud"" concepts, civil liabilities under the securities laws, and state ""Blue Sky"" laws. It also discusses the Sarbanes-Oxley legislation, SEC public offering rules, SEC regulations on the resale of securities, and recent federal Supreme Court and appellate court decisions. --This text refers to an out of print or unavailable edition of this title.

## **Book Information**

Series: Understanding Series

Paperback: 430 pages

Publisher: Lexis Pub; 3 edition (August 2001)

Language: English

ISBN-10: 0820548650

ISBN-13: 978-0820548654

Package Dimensions: 9.4 x 6.5 x 1 inches

Shipping Weight: 2 pounds

Average Customer Review: 5.0 out of 5 stars 3 customer reviews

Best Sellers Rank: #850,186 in Books (See Top 100 in Books) #76 in Books > Law > Business > Securities #8495 in Books > Textbooks > Law

## **Customer Reviews**

Very informative

Securities Regulation is one of the hardest courses in law school, not least because it requires close reading of highly technical statutes. Unfortunately, there are relatively few well-done guides to

securities law. Steinberg's text is one of the best--if not the best. He combines detailed textual review of major concepts with extensive references to both primary and secondary sources. As such, practicing securities lawyers will also find it a useful source of precedents.

I used this book as a supplement in my Securities Regulation course. I had shopped around and looked at a few different supplements before purchasing this one. Steinberg's book was the clearest, had the best logical layout and did an excellent job of cutting out extraneous details. It was of great assistance in clarifying difficult concepts such as the 4(1 1/2) exemption. Overall a very useful supplement for students. I've also found the book a good quick reference guide for practitioners. Obviously given it's relatively short length it is no substitute for a treatise, but it is much easier to look at to get a general overview on a given issue and to guide you toward useful additional research.

[Download to continue reading...](#)

Understanding Securities Law (Understanding Series) Working With Contracts: What Law School Doesn't Teach You, 2nd Edition (PLI's Corporate and Securities Law Library) Understanding Securities Law (2014) Wiley FINRA Series 63 Exam Review 2017: The Uniform Securities Sate Law Examination Securities Law, 5th (Concepts and Insights Series) The Logic of Securities Law Banking and Securities Regulation in the Netherlands (Dutch Business Law) The Law of Securities Regulation (Hornbooks) Securities Regulation (Gilbert Law Summaries) The Law of Securities Regulation, 6th Edition Hornbook (Hornbooks) Securities Law (Concepts and Insights) Technology Transactions: A Practical Guide to Drafting and Negotiating Commercial Agreements (Corporate and Securities Law Library) Understanding Bond Markets: Guide to the innerworkings of today's Debt Securities Markets Series 7 Exam Flashcard Study System: Series 7 Test Practice Questions & Review for the General Securities Representative Exam (Cards) Law School Handbook Contracts: UCC / Common Law definitions and outlines A Law School e-book: Authors of 6 Published Bar Exam Essays!!!!!! Contracts law A - Z 90% Law School Essays: Contracts \* A law e-book: Contract law issues and definitions and how to argue them from 70% to 90% - Big Rests Law Study Method - produces model essays Celebrity Bar Exams - Con law Criminal law Evidence Contracts Wills Real Property: Law school books / Law school exams The Law of Trusts (Prime Members Can Read This Book Free): (e law-book), Authored by writers of 6 published bar essays Including Trusts Law!! ! A - Z of Trusts Law..... Evidence, Constitutional law, Contracts Includes Essay prep and MBEÃ¢â€žâ¢s \* Law school e-book: "Solutional writing" is what passes law school. Pre-exam law school help LOOK INSIDE! Saving Sebastian: A Catharsis Novel (Custos

Securities Series Book 3)

Contact Us

DMCA

Privacy

FAQ & Help